### **Broadcasting Board of Governors**

#### Subpart D—Penalties

504.15 Penalties

AUTHORITY: 22 U.S.C. 6204.

Source: 72 FR 19798, Apr. 20, 2007, unless otherwise noted.

### Subpart A—General Provisions

# §504.1 Scope and purpose.

- (a) These regulations in this subpart establish policy, assign responsibilities and prescribe procedures with respect to:
- (1) The production or disclosure of official information or records by BBG employees, and
- (2) The testimony of current and former BBG employees, relating to official information, official duties, or the BBG's records, in connection with federal or state litigation in which the BBG is not a party.
- (b) The BBG intends these provisions to:
- (1) Conserve the time of BBG employees for conducting official business;
- (2) Minimize the involvement of BBG employees in issues unrelated to BBG's mission:
- (3) Maintain the impartiality of BBG employees in disputes between private litigants; and
- (4) Protect sensitive, confidential information and the deliberative processes of the BBG.
- (c) In providing for these requirements, the BBG does not waive the sovereign immunity of the United States.
- (d) This part provides guidance for the internal operations of BBG. It does not create any right or benefit, substantive or procedural, that a party may rely upon in any legal proceeding against the United States.

# § 504.2 Applicability.

This part applies to demands and requests to current and former employees for factual or expert testimony relating to official information or official duties or for production of official records or information, in legal proceedings in which the BBG is not a named party. This part does not apply to:

(a) Demands upon or requests for a BBG employee to testify as to facts or events that are unrelated to his or her official duties or that are unrelated to the functions of the BBG;

- (b) Demands upon or requests for a former BBG employee to testify as to matters in which the former employee was not directly or materially involved while at the BBG;
- (c) Requests for the release of records under the Freedom of Information Act, 5 U.S.C. 552, or the Privacy Act, 5 U.S.C. 552a; or
- (d) Congressional demands and requests for testimony, records or information.

#### § 504.3 Definitions.

The following definitions apply to this part:

- (a) Demand means an order, subpoena, or other command of a court or other competent authority for the production, disclosure, or release of records or for the appearance and testimony of a BBG employee in a legal proceeding.
- (b) General Counsel means the General Counsel of the BBG or a person to whom the General Counsel has delegated authority under this part.
- (c) Legal proceeding means any matter before a court of law, administrative board or tribunal, commission, administrative law judge, hearing officer or other body that conducts a legal or administrative proceeding. Legal proceeding includes all phases of litigation.
- (d) BBG means the Broadcasting Board of Governors.
- (e) BBG employee means:
- (1) Any current or former employee of the BBG.
- (2) This definition does not include persons who are no longer employed by the BBG and who agree to testify about general matters, matters available to the public, or matters with which they had no specific involvement or responsibility during their employment with the BBG.
- (f) Records or official records and information means all information in the custody and control of the BBG, relating to information in the custody and control of the BBG, or acquired by a BBG employee in the performance of his or her official duties or because of his or her official status, while the individual was employed by the BBG.